



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Southern Branch
116 Commerce Ave
London, KY 40744

Adam Mather
INSPECTOR GENERAL

Phone: (606) 330-2030 Fax: (606) 330-2056
<https://chfs.ky.gov/agencies/os/oig>

Inspection Report

Provider Name: Southern Madison Childcare Center	Provider Information	CLR No: L383227
Provider Address: 408 Mini Mall Drive, Berea, KY, 40403	Provider Type: LICENSED TYPE I	Capacity: 94
Owner(s): Romper Room Child Care Center, LLC		Director(s): Sallee, Holly Marie

Inspection Type: Renewal Application	Inspection Information	Inspection No: 244515
Date Initiated: 07/17/2018 11:26 AM	Date Concluded: 07/17/2018 4:47 PM	
	No. of Children Present: 58	

Inspection Report	
Background Checks	Not In Compliance
5 - Background check/left alone/dismissed/relocated	Not In Compliance
<p>922 KAR 2:280. Section 3. Implementation and Enforcement.</p> <p>(1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.</p> <p>(2) A child care staff member hired on or after January 1, 2018, shall:</p> <p>(a) Have completed the background checks required in accordance with this administrative regulation and found to have no disqualifying offense prior to becoming a child care staff member; or</p> <p>(b) 1. Have submitted to the background checks required in accordance with this administrative regulation;</p> <p>2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and</p> <p>3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.</p>	
Findings:	
General: Based on review of KARES, conducted on 07/17/18, and documentation contained in staff files, the surveyor found the following:	
1. The payment date on a staff's (DOH: 07/03/17) criminal records background check was 07/24/17; thus, not prior to or on the date of hire.	
2. No verification was found that background checks were submitted for a staff (DOH: 06/21/18) indicating that the applicant was cleared for hire. Interview with the Director, found that the staff person has not been alone with the children.	
Supervision	In Compliance

Inspection Report

Staffing Requirements

Not In Compliance

125 - Ratios and Group Size

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:

Age of Children Ratio Maximum Group Size*

- Infant**
- 1 staff for 5 children 10**
- Toddler 12 to 24 months**
- 1 staff for 6 children 12**
- Toddler 24 to 36 months**
- 1 staff for 10 children 20**
- Preschool-age 3 to 4 years**
- 1 staff for 12 children 24**
- Preschool-age 4 to 5 years**
- 1 staff for 14 children 28**
- School-age 5 to 7 years**
- 1 staff for 15 children 30**
- School-age 7 and older**
- 1 staff for 25 children**
- (for before and after school) 30**
- 1 staff for 20 children**
- (full day of care) 30**

***Maximum Group Size shall be applicable only to Type I child-care centers.**

(b) The age of the youngest child in the group shall determine the:

- 1. Staff-to-child ratio; and**
- 2. Maximum group size.**

Findings:

General: Based on observation upon entry to the childcare center, the surveyor found the following:

- 1. The surveyor observed that staff were moving children to alternate classrooms. During an interview with the staff in the Toddler Room, the surveyor learned that two (2) children were moved to the classroom for the two-year-old children. The staff person confirmed that the two (2) children exceeded the staff-to-child ratio for about ten (10) minutes.
- 2. During a tour of the Preschool Room, one (1) staff person confirmed that she had supervised eighteen (18) children, whose ages ranged from three to five-years-old, for approximately five (5) minutes when another staff person left the classroom for break. Six (6) children exceeded the staff-to-child ratio.

General Administration

In Compliance

Director Requirements

Not In Compliance

345 - Staff Evaluation

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;

Findings:

General: Based on review of documentation, the surveyor found the following:

- 1. No documentation was found to verify that a written annual performance evaluation was completed for a staff (DOH: 05/31/17) for the review period of 05/31/17 through 05/30/18.
- 2. No documentation was found to verify that an written annual performance evaluation was completed for a staff (DOH: 07/03/17) for the review period of 07/03/17 through 07/03/18.

350 - Health, Safety, Comfort

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(l) Assure the health, safety, and comfort of each child;

Findings:

General: Based on observation, the surveyor found the following:

- 1. A staff's personal bag was stored on the floor in the Preschool Room.
- 2. Six (6) uncovered electrical outlets were observed in the Toddler Room.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**
- 1. High school diploma;**
 - 2. GED or qualifying documentation from a comparable educational entity; or**
 - 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on review of documentation, the surveyor found the following:

1. A staff's (DOH: 06/04/18) file did not contain a High School Diploma, GED or Commonwealth Child Care Credential. Through interview, the surveyor learned that the staff person has not yet graduated from high school. A statement from the school was not available to verify current enrollment.
2. A staff's (DOH: 06/05/18) file did not contain a High School Diploma, GED or Commonwealth Child Care Credential. Through interview, the surveyor learned that the staff person has not yet graduated from high school. A statement from the school was not available to verify current enrollment.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on review of documentation and ECE-TRIS, the surveyor found the following:

1. Verification of only four (4) hours of cabinet-approved early care and education annual training was found for a staff (DOH: 06/27/16) for the review period of 06/27/17 through 06/26/18.
2. Verification of only twelve (12.5) hours of cabinet-approved early care and education annual training was found for a staff (DOH: 05/31/17) for the review period of 05/31/17 through 05/30/18.
3. Verification was found that a staff (DOH: 05/31/17) obtained six (6) hours of cabinet-approved orientation training on 11/14/17; thus, not within the first three (3) months of employment.

Programming

In Compliance

Premises

Not In Compliance

560 - Building Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(5) The building shall be constructed to ensure the:

- (a) Building is:**
- 1. Dry;**
 - 2. Ventilated; and**
 - 3. Well lit, including clean light fixtures that are:**
 - a. In good repair in all areas; and**
 - b. Shielded or have shatter-proof bulbs installed; and**
- (b) Following are protected:**
- 1. Windows;**
 - 2. Doors;**
 - 3. Stoves;**
 - 4. Heaters;**
 - 5. Furnaces;**
 - 6. Pipes; and**
 - 7. Stairs.**

Findings:

General: Based on observation, the surveyor found the following:

1. Dead bugs were observed in the light fixture located in restroom used by the two-year-old children.
2. A thick coat of dust was observed on the ceiling vent located in restroom used by the two-year-old children.
3. A thick coat of dust was observed on the ceiling vent and mini blinds located in the School-age Classroom.
4. Spider webs were observed near the ceiling in restroom used by the two-year-old children.

650 - Toilet

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (4) Each toilet shall:**
- (a) Be kept in clean condition;**
 - (b) Be kept in good repair;**
 - (c) Be in a lighted room; and**
 - (d) Have ventilation to outside air.**

Findings:

General: Based on observation, the surveyor found that the toilet base in the "Thing 2" restroom was heavily soiled with a brown and yellow substance.

Hygienic Practices

Not In Compliance

685 - Diaper Changing Area/Surface

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (10) When a child is diapered, the child shall:**
- (b) Be placed on a surface that is:**
 - 1. Clean;**
 - 2. Padded;**
 - 3. Free of holes, rips, tears, or other damage;**
 - 4. Nonabsorbent;**
 - 5. Easily cleaned; and**
 - 6. Free of any items not used for diaper changing.**

Findings:

General: Based on observation during a tour of the childcare center, the surveyor found the following:

- 1. A tear was observed in the vinyl covering of the diaper changing pad located in the Infant Room.
- 2. A diaper changing station, made of hard plastic, was observed in the restroom located in the Toddler's Room. Through interview with staff, the surveyor learned that no padding in placed on the diaper changing station when the children are diapered.

710 - Toy Sanitation Procedure

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

- (4) A toy or another item that is considered a mouth contact surface by a child not toilet trained shall be sanitized daily by:**
- (a) 1. Scrubbing in warm, soapy water using a brush to reach into crevices;**
 - 2. Rinsing in clean water;**
 - 3. Submerging in a sanitizing solution for at least two (2) minutes; and**
 - 4. Air dried; or**
 - (b) Cleaning in a dishwasher if the toy or other item is dishwasher safe.**

Findings:

General: Based on interview with staff the surveyor found the following:

- 1. Staff in the Infant Room reported that the mouth contact toys are sprayed with a soapy solution, wiped off and then sprayed with a bleach solution.
- 2. Staff in the Toddler Room reported that the mouth contact toys are placed in hot water that is mixed with bleach for approximately two to five (2-5) minutes, rinsed and dried with a paper towel.

First Aid/Medication

In Compliance

Outdoor Play Area

Not In Compliance

750 - Playground Conditions

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

- (20) An outdoor play area shall be:**
- (d) Safe from foreseeable hazard;**
 - (e) Well drained;**
 - (f) Well maintained;**
 - (g) In good repair; and**
 - (h) Visible to staff at all times.**

Findings:

General: Based on observation during a tour of the playground, the surveyor found that water was pooled near the childcare center's door. Through interview with staff, the surveyor learned that condensation from the air conditioning unit drains onto the playground.

Inspection Report

Equipment

Not In Compliance

795 - Individual Bed/Mat/Cot and Bedding

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

- (3) Rest time shall include adequate space specified by the child's age as follows:
 - (b) For a toddler or preschool-age child:
 - 1. An individual bed, a two (2) inch thick waterproof mat, or cot in good repair; and
 - 2. Bedding that is in good repair and is changed:
 - a. Weekly; or
 - b. Immediately if it is soiled or wet.

Findings:

General: Based on observation during a tour of the Toddler Room, the surveyor found tears in the vinyl covering of a child's mat while in use during naptime.

815 - Toys/Furniture

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

- (1) All toys and furniture contacted by a child shall be:
 - (a) Kept clean and in good repair; and
 - (b) Free of peeling, flaking, or chalking paint.

Findings:

General: Based on observation, the surveyor found a large break in the thin sheet of wood located on the back of the child-size book case. The book case was not in good repair.

Transportation

Not In Compliance

845 - Requirements for Transportation Services

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

- (2) A center providing or arranging transportation service shall:
 - (a) Be licensed and approved by the cabinet or its designee prior to transporting a child;
 - (b) Have a written plan that details the type of transportation, staff schedule, transportation schedule, and transportation route; and
 - (c) Have written policies and procedures, including emergency procedures practiced monthly by staff who transports children.

Findings:

General: Based on review of documentation, the surveyor found that the children were taken on a field trip to Boones Burial on 06/12/18. Review of transportation documentation found no verification of a written route to the field trip site.

915 - Pre-trip Inspection

Not In Compliance

922 KAR 2:120. Section 12. Transportation.

- (8) A daily inspection of the vehicle shall be performed prior to the vehicle's use and documented for the following:
 - (a) Tire inflation consistent with tire manufacturer's recommended air pressure;
 - (b) Working lights, signals, mirrors, gauges, and wiper blades;
 - (c) Working safety restraints;
 - (d) Adequate fuel level; and
 - (e) Cleanliness and good repair.

Findings:

General: Based on review of documentation, the surveyor found that the children were taken on a field trip to Boones Burial on 06/12/18. Review of transportation documentation found no verification that a pre-trip inspection report was completed prior to the field trip.

Food Service/Food Program

Not In Compliance

995 - Lunch/Dinner Requirements

Not In Compliance

922 KAR 2:120. Section 9. Food and Meal Requirements.

- (19) Lunch and dinner shall include:
 - (a) Milk;
 - (b) Protein;
 - (c) Bread; and
 - (d) 1. Two (2) vegetables;
 - 2. Two (2) fruits; or
 - 3. One (1) fruit and one (1) vegetable.

Findings:

General: Based on observation, the children were served chicken noodle soup, a peanut butter and jelly Crustable, apple slices and milk for lunch. A second fruit or vegetable food component was not served to the children.

Inspection Report

Food Service

Not In Compliance

1040 - Kitchen Equipment Clean and Sanitary

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

- (7) The following shall be clean and sanitary:**
 - (a) Eating and drinking utensils;**
 - (b) Kitchenware;**
 - (c) Food contact surfaces of equipment;**
 - (d) Food storage utensils;**
 - (e) Food storage containers;**
 - (f) Cooking surfaces of equipment; and**
 - (g) Nonfood contact surfaces of equipment.**

Findings:

General: Based on observation, the surveyor found dark stains and baked-on food particles on the interior top, sides and bottom of the oven located in the kitchen.

Children's Records

Not In Compliance

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

- (1) A child-care center shall maintain:**
 - (b) A written record for each child:**
 - 1. Completed and signed by the child's parent;**
 - 2. Retained on file on the first day the child attends the child-care center; and**
 - 3. To contain:**
 - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
 - b. Contact information to enable a person in charge to contact the child's:**
 - (i) Parent at the parent's home or place of employment;**
 - (ii) Family physician; and**
 - (iii) Preferred hospital;**
 - c. The name of each person who is designated in writing to pick-up the child;**
 - d. The child's general health status and medical history including, if applicable:**
 - (i) Allergies;**
 - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
 - (iii) Permission from the parent for third-party professional services in the child-care center;**
 - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
 - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

Findings:

General: Based on review of documentation contained in ten (10) children's files, the surveyor found the following:

- 1. Two (2) files did not contain information to enable a person in charge to contact the family physician.
- 2. Three (3) files did not contain information to enable a person in charge to identify or contact the family physician.

Written Documentation

Not In Compliance

1170 - Professional Development

Not In Compliance

922 KAR 2:090. Section 9. Records.

- (1) A child-care center shall maintain:**
 - (f) A written annual plan for child-care staff professional development;**

Findings:

General: Based on review of documentation, the surveyor found the following:

- 1. No documentation was found to verify that an annual written plan for professional development was completed for a staff (DOH: 06/27/16) for the review period of 06/27/17 through 06/26/18.
- 2. No documentation was found to verify that an annual written plan for professional development was completed for a staff (DOH: 07/03/17) for the review period of 07/03/17 through 07/02/18.

1175 - Earthquake/Tornado/Fire Drills

Not In Compliance

922 KAR 2:090. Section 9. Records.

- (1) A child-care center shall maintain:**
 - (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**
 - (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

Findings:

General: Based on review of documentation, the surveyor found that earthquake and tornado drills were conducted for the second quarter of 2018. However, only one (1) time of day was documented for both drills.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date