



**CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL**

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Inspection Report

Provider Name: Small World Child Development Center	Provider Information	CLR No: L383179
Provider Address: 1012 West Shelby Street, Falmouth, KY, 41040	Provider Type: LICENSED TYPE I	Capacity: 69
Owner(s): Small World Daycare, Llc		Director(s): Wolfe, Lindsey Jo

Inspection Type: Renewal Application	Inspection Information	Inspection No: 278210
Date Initiated: 02/08/2019 9:25 AM	Date Concluded: 02/08/2019 12:10 PM	
	No. of Children Present: 37	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	Not In Compliance

180 - Plan of Correction/15 days **Not In Compliance**

922 KAR 2:090. Section 14. Statement of Deficiency and Corrective Action Plans.
(2) Except for a violation posing an immediate threat as handled in accordance with KRS 199.896(5)(c), a child-care center shall submit a written corrective action plan to the cabinet or its designee within fifteen (15) calendar days of the date of the statement of deficiency to eliminate or correct the regulatory violation.

Findings:

A PLAN OF CORRECTION WAS DUE ON 03/05/2019 AND AS OF 03/28/2019, THE PLAN OF CORRECTION HAS NOT BEEN RECEIVED.

Director Requirements	Not In Compliance
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320 - Operation instruction/Regulation copy **Not In Compliance**

922 KAR 2:090. Section 8. General.
(2) Child-care center staff shall be:
(a) Instructed by the child-care center's director regarding requirements for operation; and
(b) Provided with a copy of this administrative regulation, 922 KAR 2:120, and 922 KAR 2:280.

Findings:

General: Based on review of documentation, it was found that there was not an updated copy of the regulations on file at the facility.

350 - Health, Safety, Comfort **Not In Compliance**

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.
(1) A director shall:
(I) Assure the health, safety, and comfort of each child;

Findings:

General: Based on observation, it was found that there was one (1) uncovered electrical outlet located under the hand washing sink/counter in the one's classroom. In addition, in the one's classroom the electrical outlets are designed so that they can be closed without having to put covers over them. During the survey it was found that not all of outlets had the cover switched over so that the openings were not exposed.

Inspection Report

Employee Records

Not In Compliance

385 - Personnel File

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(e) A current personnel file for each child-care center staff person to include:

- 1. Name, address, date of birth, and date of employment;**
- 2. Proof of educational qualifications;**
- 3. Record of annual performance evaluation;**
- 4. Documentation of compliance with tuberculosis screening in accordance with Section 11(1)(b) of this administrative regulation; and**
- 5. The results of background checks conducted in accordance with 922 KAR 2:280;**

Findings:

General: Based on review of documentation, it was found that one (1) staff did not have a date of hire listed in their personnel file. It could not be determined at any point during the survey what this person's date of hire was.

390 - Educational Requirements

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on review of documentation, it was found that three (3) staff did not have proof of education of file at the facility. The hire dates of these staff include: 08/16/2018 and 01/14/2019. The third staff person's hire date could not be determined, as the owner was unsure of when the staff started.

395 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(1) Child-care center staff:

(b) Shall provide, prior to employment and every two (2) years thereafter:

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on review of documentation, the following was found:

- 1.) One (1) staff did not have evidence of a negative TB skin test obtained within the last two (2) years. The hire date for this staff is 08/11/2014.
- 2.) There were two (2) staff who did not have evidence of a negative TB skin test on file at the facility. The date of hire for one (1) of these staff is 08/06/2018. The second staff's date of hire could not be determined during the time of the survey.

410 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

(16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on review of documentation, the following was found:

- 1.) There were five (5) staff that did not complete the required fifteen (15) hours of cabinet approved training within the appropriate time frame. The dates of hires for these staff are: 11/07/2013, 08/11/2014, 01/05/2017, 09/25/2017, and 10/24/2017.
- 2.) There are four (4) staff who have not yet completed the required Pediatric Abusive Head Trauma training. This training was also not completed within the first year of employment. The dates of hire for these staff are: 09/23/2016, 01/05/2017, 09/25/2017, and 10/24/2017.
- 3.) One (1) staff did not complete orientation within the first three (3) months of employment. It was determined during the survey that this training had not yet been completed. This date of hire for this staff person is 03/14/2018.

A review of ECE-TRIS confirmed these findings.

Inspection Report

Programming

Not In Compliance

420 - Program of Activities Followed

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.

(4) The child-care center shall provide a daily planned program:

- (a) Posted in writing in a conspicuous location with each age group and followed;**
- (b) Of activities that are individualized and developmentally appropriate for each child served;**
- (c) That provides experience to promote the individual child's physical, emotional, social, and intellectual growth and well-being; and**
- (d) Unless the child-care center is a before- or after-school program that operates part day or less, that offers a variety of creative activities including:**

- 1. Art or music;**
- 2. Math or numbers;**
- 3. Dramatic play;**
- 4. Stories and books;**
- 5. Science or nature;**
- 6. Block building or stacking;**
- 7. Tactile or sensory activity;**
- 8. Multi-cultural exposure;**
- 9. Indoor or outdoor play in which a child makes use of both small and large muscles;**
- 10. A balance of active and quiet play, including group and individual activity;**
- 11. An opportunity for a child to:**
 - a. Have some free choice of activities;**
 - b. If desired, play apart from the group at times;**

Findings:

General: Based on observation, the following was found:

- 1.) There were no books found in the one year old classroom.
- 2.) There were no multicultural materials found in the infant classroom.
- 3.) There was not a lesson plan posted in the infant classroom.

Premises

Not In Compliance

525 - Items Accessible Only During Activity

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(8) The following shall be inaccessible to a child in care unless under direct supervision and part of planned program of instruction:

- (a) Knives and sharp objects;**
- (b) Litter and rubbish;**
- (c) Bar soap; and**
- (d) Plastic bags not used for personal belongings.**

Findings:

General: Based on observation, it was found that there was an adult sized pair of scissors and a roll a of black trash bags on the counter top in a room connected to the toddler classroom. This room is where the children eat meals. These items were accessible to the children in the classroom.

540 - Premises Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(1) The premises shall be:

- (a) Suitable for the purpose intended;**
- (b) Kept clean and in good repair;**

Findings:

General: Based on observation, it was found that there was a light switch in the toddler classroom that did not have a plastic plate covering it. This switch was low enough on the wall that it could be easily accessible to the children. The HSS notes that there were not any exposed wires; however, there were openings around each side of the switch in which objects could be stuck.

560 - Building Requirements

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.
(5) The building shall be constructed to ensure the:
(a) Building is:
 1. Dry;
 2. Ventilated; and
 3. Well lit, including clean light fixtures that are:
 a. In good repair in all areas; and
 b. Shielded or have shatter-proof bulbs installed; and
(b) Following are protected:
 1. Windows;
 2. Doors;
 3. Stoves;
 4. Heaters;
 5. Furnaces;
 6. Pipes; and
 7. Stairs.

Findings:

General: Based on observation, it was found through a tour of the playground for older children that there was an opening to the crawl space under the building. This opening was not covered and was accessible to the children on that playground. Upon speaking to the owner about this, she reported someone was working on the HVAC system recently and they must have not covered the opening back up.

580 - Floors, Walls, Ceilings

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.
(9) Floors, walls, and ceilings shall be smooth, in good repair, and constructed to be easily cleaned.

Findings:

General: Based on observation, the following was found:

- 1.) There was a hole in the wall in the toddler classroom. This hole was located by the dramatic play kitchen area.
- 2.) Also in the toddler room, there was an area on the wall located by the door leading into the office that had been patched. The area that had been patched had not yet been painted.
- 3.) There was chipped paint in the toddler room. This was located under the outside window in the classroom.
- 4.) In the bathroom that connected the preschool and one year old classroom, there were areas of the wall that have been patched. These areas had not yet been painted.
- 5.) Also in this bathroom, there were cob webs and dust in the upper corners of the walls.
- 6.) In the cubby rooms off of the preschool room, there were numerous spots on the ceilings. This was an unknown brown substance.
- 7.) In the preschool room there were numerous marks on the wall, as well as a blue putty like substance on the wall. This was located near the door leading to the outside playgrounds.

640 - Toilet Room

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.
(2) A toilet room shall:
(a) 1. Be provided for each gender; or
2. A plan shall be implemented to use the same toilet room at separate times;
(b) Have a supply of toilet paper; and
(c) Be cleaned and disinfected daily.

Findings:

General: Based on observation, it was found that there was no toilet paper or paper towels in the toddler bathroom.

Hygienic Practices

Not In Compliance

680 - Soiled Diapers/Clothing

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.
(8) Diapers or clothing shall be:
(a) Changed when soiled or wet;
(b) Stored in a covered container temporarily; and
(c) Washed or disposed of at least once a day.

Findings:

General: Based on observation, it was found that a child in the one's classroom was walking around the classroom with a soiled diaper. The HSS entered this classroom on two different occasions and the child had still not been changed out of the soiled diaper. The diaper had not leaked; however, the soiled area were visible around the back and sides of the child's legs.

Inspection Report

685 - Diaper Changing Area/Surface

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (10) When a child is diapered, the child shall:**
 - (b) Be placed on a surface that is:**
 - 1. Clean;**
 - 2. Padded;**
 - 3. Free of holes, rips, tears, or other damage;**
 - 4. Nonabsorbent;**
 - 5. Easily cleaned; and**
 - 6. Free of any items not used for diaper changing.**

Findings:

General: Based on observation, it was found that the changing pad in the one's classroom was in severe disrepair. The surface had numerous rips and tears, which were covered in tape in order to remedy the issues.

First Aid/Medication

Not In Compliance

715 - First Aid Supplies

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (1) First aid supplies shall:**
 - (a) Be available to provide prompt and proper first aid treatment;**
 - (b) Be stored out of reach of a child;**
 - (c) Be periodically inventoried to ensure the supplies have not expired;**
 - (d) If reusable, be:**
 - 1. Sanitized; and**
 - 2. Maintained in a sanitary manner; and**
 - (e) Include:**
 - 1. Liquid soap;**
 - 2. Adhesive bandages;**
 - 3. Sterile gauze;**
 - 4. Medical tape;**
 - 5. Scissors;**
 - 6. A thermometer;**
 - 7. Flashlight;**
 - 8. Cold pack;**
 - 9. First aid book;**
 - 10. Disposable gloves; and**
 - 11. A cardiopulmonary resuscitation mouthpiece protector.**

Findings:

General: Based on observation, it was found that the facility's first aid kit did not contain a thermometer.

725 - Administration Record

Not In Compliance

922 KAR 2:120. Section 7. First Aid and Medicine.

- (5) The child-care center shall keep a written record of the administration of medication, including:**
 - (a) Time of each dosage;**
 - (b) Date;**
 - (c) Amount;**
 - (d) Name of staff person giving the medication;**
 - (e) Name of the child; and**
 - (f) Name of the medication.**

Findings:

General: Based on review of documentation, it was found through a review of a child's file that there was a medication administration form. This form included the child's name, medication name, and dosage. However the form did not contain the date to be given, time, or the name of the person who administered the medication. It could not be determined if the child was ever given the medication or if he was given the proper dosage on the correct date.

Inspection Report

Outdoor Play Area

Not In Compliance

745 - Playground Clean

Not In Compliance

922 KAR 2:120. Section 4. Premises Requirements.

(20) An outdoor play area shall be:

(c) Free from:

- 1. Litter;**
- 2. Glass;**
- 3. Rubbish; and**
- 4. Flammable materials;**

Findings:

General: Based on observation, the following was found:

- 1.) There were numerous PVC pipes, a water hose, and a deflated toy all piled up on the back side of the smaller playground.
- 2.) There was also trash and debris in the corner of the playground. The corner was located in the front of the playground, where the dumpster is located directly on the other side of the fence.

Equipment

Not In Compliance

795 - Individual Bed/Mat/Cot and Bedding

Not In Compliance

922 KAR 2:120. Section 6. Sleeping and Napping Requirements.

(3) Rest time shall include adequate space specified by the child's age as follows:

(b) For a toddler or preschool-age child:

- 1. An individual bed, a two (2) inch thick waterproof mat, or cot in good repair; and**
- 2. Bedding that is in good repair and is changed:**
 - a. Weekly; or**
 - b. Immediately if it is soiled or wet.**

Findings:

General: Based on observation, it was found that there were some ripped and torn mats being used for napping in the toddler room.

815 - Toys/Furniture

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

(1) All toys and furniture contacted by a child shall be:

- (a) Kept clean and in good repair; and**
- (b) Free of peeling, flaking, or chalking paint.**

Findings:

General: Based on observation, it was found that there was a padded climbing toy located in the back corner of the one year old classroom. This climbing toy was ripped and it exposed the foam inside of the toy. Due to this, the toy could be properly cleaned and sanitized.

Transportation

Not Applicable

Food Service/Food Program

In Compliance

Food Service

Not In Compliance

1030 - Frozen Food

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

(5) Frozen food shall be:

(a) Kept at a temperature of zero degrees Fahrenheit or below; and

(b) Thawed:

- 1. At refrigerator temperatures;**
- 2. Under cool, potable running water;**
- 3. As part of the cooking process; or**
- 4. By another method in accordance with the Department of Public Health's food safety standards and permits, established in KRS Chapter 217.**

Findings:

General: Based on observation, it was found that there was not a thermometer in the deep freezer in the kitchen. Therefore, the temperature of the food being stored could not be determined.

1050 - Bottles

Not In Compliance

922 KAR 2:120. Section 8. Kitchen Requirements.

(9) Bottles shall be:

- (a) Individually labeled;**
- (b) Promptly refrigerated;**
- (c) Covered while not in use; and**
- (d) Consumed within one (1) hour of being heated or removed from the refrigerator.**

Findings:

General: Based on observation, it was found that there were two (2) unlabeled bottles in the infant classroom.

Inspection Report

Children's Records

Not In Compliance

1140 - Enrollment Information

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(b) A written record for each child:

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the child-care center; and**
- 3. To contain:**

a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;

b. Contact information to enable a person in charge to contact the child's:

(i) Parent at the parent's home or place of employment;

(ii) Family physician; and

(iii) Preferred hospital;

c. The name of each person who is designated in writing to pick-up the child;

d. The child's general health status and medical history including, if applicable:

(i) Allergies;

(ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and

(iii) Permission from the parent for third-party professional services in the child-care center;

e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;

f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;

Findings:

General: Based on review of documentation, it was found that there were two children's files that did not contain the form that listed who was authorized to pick up the children from the facility. The dates of enrollment for these children are 08/18/2014 and 07/09/2018.

Written Documentation

Not In Compliance

1170 - Professional Development

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(f) A written annual plan for child-care staff professional development;

Findings:

General: Based on review of documentation, it was found that one (1) staff person did not have evidence of a professional development plan on file at the facility. The date of hire for this staff person is 09/25/2017.

1175 - Earthquake/Tornado/Fire Drills

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

(h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

(i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;

Findings:

General: Based on review of documentation, it was found that all of the facility's quarterly earthquake and tornado drills were recorded on the same paper. Therefore, it appeared that all of the drills were completed on the same date with the same start times. Also, it appeared that the drills were not conducted separately.

1195 - Fire Drills

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(12) A fire drill shall be:

(a) Conducted during hours of operation at least monthly; and

(b) Documented.

(13) An earthquake drill and a tornado drill shall be:

(a) Conducted during hours of operation at least quarterly; and

(b) Documented.

Findings:

General: Based on review of documentation, it was found that there was no evidence of a fire drill being completed at the facility in January of 2019. The director reported that she knew one had been completed, but was not sure if it had been documented yet.

Posted Documentation

Not In Compliance

1215 - Daily Activities

Not In Compliance

922 KAR 2:090. Section 10. Director Requirements and Responsibilities.

(1) A director shall:

(h) Post a schedule of daily activities, to include dates and times of activities to be conducted with the children in each classroom;

Findings:

General: Based on observation, it was found that there was not a schedule of daily activities posted in the infant classroom.

Animals

In Compliance

Signature of
Provider/Representative

Title

Date