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Inspection Report

Provider Name: The Dolphin Club After School Program	Provider Information Provider Type: LICENSED TYPE I	CLR No: L383124
Provider Address: 340 Wilson Downing Road, Lexington, KY, 40517		Capacity: 200
Owner(s): Fayette County Public Schools		Director(s): Calton, Luke

Inspection Type: Renewal Application	Inspection Information	Inspection No: 318786
Date Initiated: 10/18/2021 2:33 PM	Date Concluded: 10/18/2021 4:15 PM	
	No. of Children Present: 36	

Inspection Report	
Background Checks	In Compliance
Supervision	In Compliance
Staffing Requirements	In Compliance
General Administration	In Compliance
Director Requirements	Not In Compliance
355 - Staff Meeting	Not In Compliance
922 KAR 2:090. Section 10. Director Requirements and Responsibilities. (1) A director shall: (i) Conduct, manage, and document in writing recurring staff meetings;	
Findings:	
General: Based on review of documentation, there was no documentation of recurring staff meetings available for review.	
360 - Staff Evaluation	Not In Compliance
922 KAR 2:090. Section 10. Director Requirements and Responsibilities. (1) A director shall: (j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;	
Findings:	
General: Based on interview and review of documentation, three staff members did not have an annual evaluation completed and on file.	
Employee Records	Not In Compliance
400 - Educational Requirements	Not In Compliance
922 KAR 2:090. Section 11. Staff Requirements. (1) Child-care center staff: (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a: 1. High school diploma; 2. GED or qualifying documentation from a comparable educational entity; or 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;	
Findings:	
General: Based on review of documentation, four staff members hired on 8/5/19, 8/10/19, and (two) 8/11/21, did not have proof of education available for review.	

Inspection Report

405 - TB Verification

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (1) Child-care center staff:**
(b) Shall provide, prior to employment and every two (2) years thereafter:
 1. A statement from a health professional that the individual is free of active tuberculosis; or
 2. A copy of negative tuberculin results.

Findings:

General: Based on review of documentation, three staff members hired on 8/10/16, 8/15/18, and 8/10/19, had TB documentation on file that was no longer valid. A staff member hired on 8/11/21, did not have TB documentation available for review.

435 - Training

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (16) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**
(a) Six (6) hours of cabinet-approved orientation completed within the first three (3) months of employment in a child-care program;
(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training; and
(c) Fifteen (15) hours of cabinet-approved early care and education training completed between July 1 and the following June 30 of each subsequent year of employment in a child care program, including one and one-half (1 ½) hours of cabinet-approved pediatric abusive head trauma training completed once every five (5) years.
(17) A staff person's compliance with training requirements of this section shall be verified through the cabinet-designated database maintained pursuant to 922 KAR 2:240.

Findings:

General: Based on review of documentation and ECE-TRIS, four staff members hired on 8/10/16, 8/15/18, 8/5/19, 8/10/19, did not complete fifteen hours of early care and education training. In addition, one staff member hired on 8/10/19, did not complete Orientation within three months of employment or complete the PAHT training within the first year of employment.

Programming

In Compliance

Premises

In Compliance

Hygienic Practices

Not In Compliance

700 - Child Personal Care/Handwashing

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (4) A child shall:**
(a) Be helped with personal care and cleanliness based upon his or her developmental skills;
(b) Except as established in paragraph (c) of this subsection, wash his or her hands with liquid soap and warm running water:
 1. a. Upon arrival at the center; or
 b. Within thirty (30) minutes of arrival for school-age children;
 2. Before and after eating or handling food;
 3. After toileting or diaper change;
 4. After handling animals;
 5. After touching an item or an area of the body soiled with body fluids or wastes; and
 6. After outdoor or indoor play time; and
(c) Use hand sanitizer or hand-sanitizing wipes if liquid soap and warm running water are not available in accordance with paragraph (b) of this subsection. The child shall wash the child's hands as soon as practicable once liquid soap and warm running water are available.

Findings:

General: Based on observation, the children did not wash their hands prior to eating snack.

First Aid/Medication

In Compliance

Outdoor Play Area

In Compliance

Equipment

In Compliance

Transportation

Not Applicable

Kitchen Requirements

In Compliance

Food Service

In Compliance

Inspection Report

Meal Planning/Center Provides Meals

Not In Compliance

1190 - Snack Requirements

Not In Compliance

922 KAR 2:120. Section 10. Meal Planning Requirements for a Center that Provides Meals.

(2) A snack shall include two (2) of the following components:

- (a) Milk;**
- (b) Meat or meat alternative;**
- (c) Bread or grain; or**
- (d) 1. Fruit;**
- 2. Vegetable; or**
- 3. 100 percent juice.**

Findings:

General: Based on observation, the surveyor noted the children were served only Chex Mix during snack. The children were not served a second food component. The surveyor also noted that some children had their own water bottles, but some children did not. The children, who did not have a water bottle, did not have anything to drink while they ate snack. A review of the "licensing procedure booklet" found that a snack and bottled water is to be provided to the children each day at the beginning of the program.

1220 - Menu

Not In Compliance

922 KAR 2:120. Section 10. Meal Planning Requirements for a Center that Provides Meals.

(9) A weekly menu shall be:

- (a) Prepared;**
- (b) Dated;**
- (c) Posted in advance in a conspicuous place;**
- (d) Kept on file for thirty (30) days; and**
- (e) Amended in writing with any substitutions on the day the meal is served.**

Findings:

General: Based on observation and interview, there was not a snack menu posted or available for review.

Meal Planning/Center Does Not Provide Meals

In Compliance

Children's Records

In Compliance

Written Documentation

Not In Compliance

1280 - Professional Development

Not In Compliance

922 KAR 2:090. Section 9. Records.

(1) A child-care center shall maintain:

- (f) A written annual plan for child-care staff professional development;**

Findings:

General: Based on review of documentation, there were not written, annual professional development plans for child care staff available for review.

Posted Documentation

Not In Compliance

1310 - Posting Requirements

Not In Compliance

922 KAR 2:090. Section 8. General.

(6) In addition to the posting requirement of KRS 199.898(3), a child-care center shall post the following in a conspicuous place and make available for public inspection:

- (a) The provider's preliminary or regular license;**
- (b) Each statement of deficiency and civil penalty notice issued by the cabinet during the current licensure year;**
- (c) Each plan of correction submitted by the child-care center to the cabinet during the current licensure year;**
- (d) Information on the Kentucky Consumer Product Safety Program and the program's Web site as specified in KRS 199.897;**
- (e) A description of services provided by the child-care center, including:**
 - 1. Current rates for child care; and**
 - 2. Each service charged separately and in addition to the basic rate for child care;**
- (f) Minimum staff-to-child ratios and group size established in 922 KAR 2:120; and**
- (g) Daily planned program.**

Findings:

General: Based on observation and interview, there was not a daily planned program (lesson plan) posted.

Animals

Not Applicable

Signature of Provider/Representative

Title

Date