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Adam Mather
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Inspection Report

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| Provider Name: Cornerstone to Learning Inc. Provider Address: 222 North Depot Street, Lebanon, KY, 40033 Owner(s): Cornerstone To Learning Inc. | Provider Information Provider Type: LICENSED TYPE I | CLR No: L358979 Capacity: 183 Director(s): Mills, Joseph "Chris" |
| Inspection Type: Investigation Date Initiated: 06/13/2017 12:35 PM | Inspection Information Date Concluded: 06/21/2017 2:23 PM No. of Children Present: 124 | Inspection No: 220608 |

40 - Ratios and Group Size

Not In Compliance

922 KAR 2:120. Section 2. Child Care Services.**(2) Minimum staff-to-child ratios and group size for an operating child-care center shall be maintained as follows:****Age of Children Ratio Maximum Group Size*****Infant****1 staff for 5 children 10****Toddler****1 staff for 6 children 12****Preschool-age 2 to 3 years****1 staff for 10 children 20****Preschool-age 3 to 4 years****1 staff for 12 children 24****Preschool-age 4 to 5 years****1 staff for 14 children 28****School-age 5 to 7 years****1 staff for 15 children 30****School-age 7 and older****1 staff for 25 children****(for before and after school) 30****1 staff for 20 children****(full day of care) 30*****Maximum Group Size shall be applicable only to Type I child-care centers.****Findings:**

General: Based on review of documentation, observation, and interview, the following was found:

1. On 6/13/17 the surveyor observed there to be thirteen (13) children in the Toddler Classroom with two (2) staff members. Upon interview, staff reported that all of the children present in the Toddler Classroom were one-year-old. Therefore, the Toddler Classroom exceeded the staff-to-child ratio by one (1) child and exceeded the maximum group size for a Type I child care center.
2. Per interview with staff, it was determined that the school-age classroom is over staff-to-child ratio by approximately ten (10) to fifteen (15) children on a regular basis. It was reported that there are approximately forty (40) to forty-five (45) children between five-years-old and twelve-years-old present in the school-age classroom with two (2) to three (3) staff members supervising; therefore, the staff-to-child ratio was exceeded by ten (10) to fifteen (15) children. Review of the school-age daily attendance roster used to report the number of children being provided lunch and breakfast on a daily basis, revealed that during the month of May 2017 there were two (2) to four (4) days each week that thirty-one (31) to thirty-five (35) school-age children were provided with lunch.
3. Per interview of multiple staff, it was found that on one (1) occasion one (1) staff member was left alone to supervise approximately sixteen (16) children ages five-years-old to twelve-years-old in the school-age classroom. Therefore, the staff-to-child ratio was exceeded by one (1) child.
4. Per interview of multiple staff, it was found that one (1) staff member was left alone to supervise twenty-four (24) four-year-old children. Therefore, the staff-to-child ratio was exceeded by ten (10) children.
5. Per interview with staff, it was determined that the Two's Classroom has been over staff-to-child ratio by approximately two (2) to ten (10) children. It was reported that on 5/18/17, approximately thirty (30) two-year-olds were combined in one (1) classroom with two (2) teachers, exceeding the staff-to-child ratio by ten (10) children and exceeding the maximum group size. Per staff interview, once when short staffed, there were twenty-two (22) two-year-old children combined in the same classroom with two (2) staff members, thus exceeding the staff-to-child ratio by two (2) children and exceeding the maximum group size.

Director Requirements

Not In Compliance

265 - Health, Safety, Comfort

Not In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.**(1) Effective with the adoption of this administrative regulation, a director shall:****(I) Provide for the health, safety, and comfort of each child;****Findings:**

General: Based on review of documentation, observation, and interview, the surveyor found that the Director was not ensuring the comfort of all children enrolled at the center as the owner (DOH: 9/30/91) was found to be providing special treatment to one (1) child (DOE: 4/8/14) in the presence of other children as follows:

1. It was reported that the owner takes the child out of the classroom on a daily basis to walk around the center, go outside to play, or visit with the owner in the office. This is reported to occur while the other children are required to stay in their classrooms unless going outside to play as a class.
2. It was reported that the owner gives the child candy and soda in the presence of the other children without providing the same candy and soda to the other children.
3. The owner was observed on 5/18/17 pushing the child around the center on a snack cart while the child was eating their snack and drinking milk.

Upon interview, the owner confirmed that she takes the child out of his classroom to play with him while the other children remain in the classroom. The owner reported that the child is taken outside to play by staff (DOH: 12/6/04) around 4:00 p.m. when the child is usually picked up from the center.

300 - Background checks/left alone

Not In Compliance

922 KAR 2:090. Section 6. License Issuance.**(5) An individual described in subsection (4) of this section shall:****(a) Submit to background checks described in paragraph (b) of this subsection;****(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:****1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;****2. Criminal records check required by KRS 199.896(19);****3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and****4. An address check of the Sex Offender Registry; and****(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.****Findings:**

General: Based on interview, the surveyor found the following:

1. A Child Abuse Neglect Check was not presented to the surveyor for review for a staff member (DOH: 3/1/17).

2. A Criminal Records Check was not presented for review for a staff member (DOH: 3/1/17).

Based on multiple interviews, the surveyor learned that the staff member (DOH:3/1/17) has been seen in the office of the child care center and in the hallway while children are present at the center.

The Director reported that the staff member does not have any interaction with children and is not responsible for the direct supervision of children. The Director stated that the staff member has worked at the center on and off again for many years; therefore, the staff file and background checks would be in storage. The Director explained that the staff member was being paid cash but was added to payroll in March of 2017. The Director stated that new background checks were not submitted upon rehire of the staff member on 3/1/17.

310 - Personnel File

Not In Compliance

922 KAR 2:110. Section 3. Records.**(1) A child-care center shall maintain:****(e) A current personnel file for each child-care center staff person to include:****1. Name, address, date of birth, and date of employment;****2. Proof of educational qualifications;****3. Record of annual performance evaluation;****4. Written record of training participation to include:****a. The training source;****b. Location;****c. Date; and****d. Number of clock hours completed;****5. Every two (2) years, a:****a. Statement from a health professional that the individual is free of active tuberculosis; or****b. Copy of negative tuberculin results; and****6. For a director, employee, volunteer, or any person with supervisory or disciplinary control over, or having unsupervised contact with, a child in care, the results of a:****a. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;****b. Criminal records check required by KRS 199.896(19);****c. Criminal records check from any previous state of residence completed once if:****(i) The individual resided outside the state of Kentucky in the last five (5) years; and****(ii) No criminal records check has been completed for the individual's previous state of residence; and****d. An address check of the Sex Offender Registry;****Findings:**

General: Based on interview, the surveyor found that a staff file (DOH: 3/1/2017) was not presented for review for a staff member. Based on multiple interviews, the surveyor learned that the staff member (DOH:3/1/17) has been seen in the office of the child care center and in the hallway while children are present at the center. The Director reported that the staff member does not have any interaction with children and is not responsible for the direct supervision of children. The Director stated that the staff member has worked at the center on and off again for many years; therefore, the staff file and background checks would be in storage. The Director explained that the staff member was being paid cash but was added to payroll in March of 2017.

315 - Educational Requirements**Not In Compliance****922 KAR 2:110. Section 5. Staff Requirements.****(1) Child-care center staff:****(a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**

- 1. High school diploma;**
- 2. GED or qualifying documentation from a comparable educational entity; or**
- 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

Findings:

General: Based on review of documentation and interview, the following were found:

1. A staff file (DOH: 9/6/16) presented for review did not contain proof of education in the form of a high school diploma, GED, or Commonwealth Child Care Credential. The Director stated that the staff member had graduated from high school in May of 2017 and he had not yet obtain a copy of the diploma for the staff file.
2. A staff file (DOH: 10/26/16) presented for review did not contain proof of education in the form of a high school diploma, GED, or Commonwealth Child Care Credential. The Director stated that the staff member had graduated from high school in May of 2017 and he had not yet obtain a copy of the diploma for the staff file.
3. A staff file (DOH: 2/6/17) presented for review did not contain proof of education in the form of a high school diploma, GED, or Commonwealth Child Care Credential. The Director stated that the staff member had graduated from high school in May of 2017 and he had not yet obtain a copy of the diploma for the staff file.
4. A staff file (DOH: 5/26/16) presented for review did not contain proof of education in the form of a high school diploma, GED, or Commonwealth Child Care Credential. The Director stated that the center had previously recieved a deficiency for the staff member's missing proof of education and he had submitted a plan of correction stating that the staff member would not be responsible for providing direct supervision to the children until after the proof of education had been obtained. Review of the Accepted Plan of Correction from 4/3/17 confirmed the Director's report; however, it was reported and also confirmed by the Director that the staff member had worked in the school-age classroom on the morning of 6/13/17 while supervising the children.

320 - TB Verification**Not In Compliance****922 KAR 2:110. Section 5. Staff Requirements.****(1) Child-care center staff:****(b) Shall provide, prior to employment and every two (2) years thereafter:**

- 1. A statement from a health professional that the individual is free of active tuberculosis; or**
- 2. A copy of negative tuberculin results.**

Findings:

General: Based on review of documentation and interview, the following were found:

1. A staff file (DOH: 5/15/10) did not contain documentation of a negative tuberculin skin test or a statement from a health professional that the individual was free of active tuberculosis.
2. A staff file (DOH: 5/26/16) did not contain documentation of a negative tuberculin skin test or a statement from a health professional that the individual was free of active tuberculosis.
3. A staff file (DOH: 10/12/09) did not contain documentation of a negative tuberculin skin test or a statement from a health professional that the individual was free of active tuberculosis.

The Director stated that he thought the staff members all had a tuberculin skin test.

340 - Training**Not In Compliance****922 KAR 2:110. Section 5. Staff Requirements.****(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:**

- (a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;**
- (b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and**
- (c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.**

Findings:

General: Based on review of documentation, review of ECE-TRIS, and interview, the following were found:

1. A staff file (DOH: 5/26/16) presented for review did not contain documentation of the completion of Pediatric Abusive Head Trauma Training (PAHT). Upon interview, the Director reported that he did not think the staff member had completed PAHT Training. Review of ECE-TRIS revealed that the staff member had not completed PAHT Training.
2. A staff file (DOH: 2/6/17) presented for review did not contain documentation of the completion of six (6) hours of state required orientation training within three months of hire. Upon interview, the Director reported that he did not think the staff member had completed orientation training. Review of ECE-TRIS revealed that the staff member had not completed orientation.

Programming**Not In Compliance****420 - Infant Sleep Position****Not In Compliance****922 KAR 2:120. Section 6. Sleeping and Napping Requirements.****(1) An infant shall sleep or nap on the infant's back unless the infant's health professional signs a waiver that states the infant requires an alternate sleeping position.****Findings:**

General: Based on observation and interview, the surveyor found that an infant was sleeping in a swing in the Infant Classroom. Staff reported that they did not have a statement from a medical professional on file recommending an alternate sleep position for the infant. The staff could not recall the length of time that the infant had been asleep in the swing.

| Inspection Report | |
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| Premises | Not In Compliance |
| 460 - Inaccessible Items | Not In Compliance |
| <p>922 KAR 2:120. Section 3. General Requirements.</p> <p>(7) Except in accordance with subsection (8) of this section, the following shall be inaccessible to a child in care:</p> <ul style="list-style-type: none"> (a) Toxic cleaning supplies, poisons, and insecticides; (b) Knives and sharp objects; (c) Matches, cigarettes, lighters, and flammable liquids; (d) Plastic bags; (e) Litter and rubbish; (f) Bar soap; and (g) Personal belongings and medications of staff. | |
| <p>Findings:</p> <p>General: Based on observation and interview, the surveyor observed that there were two (2) cans of paint located in the floor between the pre-school and older three's classrooms. The paint was accessible to the children. The Director acknowledged that the paint should have been stored in a location where it was not accessible to the children.</p> | |
| Food Service | Not In Compliance |
| 1040 - Same Food | Not In Compliance |
| <p>922 KAR 2:120. Section 9. Food and Meal Requirements.</p> <p>(14) All children should be offered the same food items unless the child's parent or health professional documents a dietary restriction that necessitates an alternative food item for the child.</p> | |
| <p>Findings:</p> <p>General: Based on review of documentation and interview, the surveyor found that the same food was not being provided to all of the children enrolled at the center as the owner (DOH: 9/30/91) has given one (1) child (DOE: 4/8/14) candy, food, and soda in the presence of other children. It was found that the owner gave the child a bite of pizza from another classroom's pizza party in front of the child's classmates who were not served pizza. Per interview, the owner gives the child candy and soda in the presence of the other children without providing the same candy and soda to all of the children. The owner was overheard informing the child's guardian that the child would not eat supper because the child had been given a milk shake.</p> | |
| Children's Records | Not In Compliance |
| 1070 - Immunization | Not In Compliance |
| <p>922 KAR 2:110. Section 3. Records.</p> <p>(1) A child-care center shall maintain:</p> <ul style="list-style-type: none"> (a) A current immunization certificate for each child in care within thirty (30) days of the child's enrollment, unless an attending physician or the child's parent objects to the immunization of the child pursuant to KRS 214.036; | |
| <p>Findings:</p> <p>General: Based on review of documentation and interview, the surveyor was not presented with a child's immunization certificate (DOE: 4/8/14). The Director stated that they did have the immunization certificate; however, it was not presented to the surveyor at the time of the inspection.</p> | |
| 1075 - Enrollment Information | Not In Compliance |
| <p>922 KAR 2:110. Section 3. Records.</p> <p>(1) A child-care center shall maintain:</p> <ul style="list-style-type: none"> (b) A written record for each child: <ul style="list-style-type: none"> 1. Completed and signed by the child's parent; 2. Retained on file on the first day the child attends the child-care center; and 3. To contain: <ul style="list-style-type: none"> a. Identifying information about the child, which includes, at minimum, the child's name, address, and date of birth; b. Contact information to enable a person in charge to contact the child's: <ul style="list-style-type: none"> (i) Parent at the parent's home or place of employment; (ii) Family physician; and (iii) Preferred hospital; c. The name of each person who is designated in writing to pick-up the child; d. The child's general health status and medical history including, if applicable: <ul style="list-style-type: none"> (i) Allergies; (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and (iii) Permission from the parent for third-party professional services in the child-care center; e. The name and phone number of each person to be contacted in an emergency situation involving or impacting the child; f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence; | |
| <p>Findings:</p> <p>General: Based on review of documentation and interview, the surveyor was presented with a child's file (DOE: 4/8/14) for review; however, the file did not contain any of the required enrollment information for the child. The file only contained enrollment information for the child's siblings. The Director stated that they did have the child's enrollment information; however, it was not presented to the surveyor at the time of the inspection.</p> | |

Inspection Report**Written Documentation****Not In Compliance****1095 - Daily Attendance Records****Not In Compliance****922 KAR 2:110. Section 3. Records.****(1) A child-care center shall maintain:**

(c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;

Findings:

General: Based on review of documentation, observation, and interview, the surveyor found that the school-age sign in/out records were not being maintained. On 6/13/17, the surveyor observed thirty-one (31) school-age children to be present at the time of the inspection; however, there were only eight (8) children signed in. One child (DOE: 5/16/17) was not listed on the sign in/out sheets for 6/12/17 - 6/16/17; however, the child was present at the center on the day of the survey visit. Upon interview, the Director stated that there were no school-age sign in/out sheets for the first two (2) weeks of May 2017 available for review. The Director reported that only half of the school-age sign in/out sheets for the week of 6/12/16 -6/16/17 were available for review.

Signature of Provider/Representative

Title

Date