



Andy Beshear
GOVERNOR

CABINET FOR HEALTH AND FAMILY SERVICES
OFFICE OF INSPECTOR GENERAL

Eric Friedlander
SECRETARY

Melissa A. Moore, Director
Division of Regulated Child Care
Southern Branch
116 Commerce Ave
London, KY 40744

Phone: (606) 330-2030 Fax: (606) 330-2056
<https://chfs.ky.gov/agencies/os/oig>

Adam Mather
INSPECTOR GENERAL

Inspection Report

Provider Name: ABC Child Care & Learning Center	Provider Information	CLR No: L356629
Provider Address: 205 Churchill Drive, Richmond, KY, 40475	Provider Type: LICENSED TYPE I	Capacity: 395
Owner(s): Et li, Inc.		Director(s): Carlin, Hannah Michal

Inspection Type: Investigation	Inspection Information	Inspection No: 231676
Date Initiated: 09/11/2017 8:30 AM	Date Concluded: 09/12/2017 3:35 PM	
	No. of Children Present: 112	

Inspection Report	
Supervision	In Compliance
Staffing Requirements	In Compliance
Director Requirements	Not In Compliance
260 - Staff Evaluation	Not In Compliance

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

(1) Effective with the adoption of this administrative regulation, a director shall:

(j) Assess each staff person's interaction with children in care and classroom performance through an annual written performance evaluation;

Findings:

General: Based on review of documentation and interview, the following was found:

1. A staff file (DOH: 4/18/16) presented for review contained an annual evaluation dated for 7/1/16; therefore, the professional development plan had not been updated annually as required.
2. A staff file (DOH: 6/9/14) presented for review contained an annual evaluation dated for 9/8/16; therefore, the professional development plan had not been updated annually as required.

The Assistant Director reported that the Director had been working on updating the annual evaluations.

265 - Health, Safety, Comfort	Not In Compliance
--------------------------------------	--------------------------

922 KAR 2:110. Section 4. Director Requirements and Responsibilities.

(1) Effective with the adoption of this administrative regulation, a director shall:

(l) Provide for the health, safety, and comfort of each child;

Findings:

General: Based on review of documentation and interview, the surveyor found that the health, safety, and comfort of the children in the Rainbow Classroom and Yellow Classroom was compromised approximately three (3) weeks ago when the children were kept in the classrooms at various times from 8/21/17 - 8/25/17 while the air conditioning unit was not functioning. Per interview the temperature was observed to be at eighty-seven (87) degrees Fahrenheit on 8/21/17 in the Rainbow Classroom. Interviews revealed that various children were observed to be sweating, flushed, and have sweat dampened hair while in the Rainbow Classroom and Yellow Classroom from 8/21/17 - 8/25/17.

Inspection Report**Employee Records****Not In Compliance****300 - Background checks/left alone****Not In Compliance****922 KAR 2:090. Section 6. License Issuance.****(5) An individual described in subsection (4) of this section shall:****(a) Submit to background checks described in paragraph (b) of this subsection;****(b) May be employed or work with a child on a probationary basis for up to ninety (90) calendar days, pending completion of a:****1. Child abuse or neglect check using the central registry in accordance with 922 KAR 1:470;****2. Criminal records check required by KRS 199.896(19);****3. Criminal records check for any previous state of residence if the person resided outside the state of Kentucky in the last five (5) years; and****4. An address check of the Sex Offender Registry; and****(c) Not be left alone in the presence of a child until copies of the background checks in accordance with paragraph (b) of this subsection have been received by the licensee.****Findings:**

General: Based on review of documentation and interview, the surveyor found that a staff file (DOH: 4/18/16) contained a criminal record check that had been submitted on 4/19/16; therefore, the criminal record background check was not submitted on or before the date of hire as required. Upon interview, the Assistant Director was not aware that the criminal record background check had not been submitted timely.

340 - Training**Not In Compliance****922 KAR 2:110. Section 5. Staff Requirements.****(14) In accordance with KRS 199.896(15) and (16), a staff person with supervisory authority over a child shall complete the following:****(a) Six (6) hours of cabinet-approved orientation within the first three (3) months of employment;****(b) Nine (9) hours of cabinet-approved early care and education training within the first year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training; and****(c) Fifteen (15) hours of cabinet-approved early care and education training during each subsequent year of employment, including one and one-half (1 ½) hours of pediatric abusive head trauma training completed once every five (5) years.****Findings:**

General: Based on review of documentation, review of ECE-TRIS, and interview, the following was found:

1. A staff file (DOH: 4/18/16) presented for review did not contain documentation of fifteen (15) hours of annual training for 4/18/16 - 4/17/17. Review of ECE-TRIS, revealed that the staff member had only obtained thirteen and a half (13.5) hours of annual training for 4/18/16 - 4/17/17.

2. A staff file (DOH: 1/26/15) presented for review did not contain documentation of fifteen hours of annual training for 1/26/16 - 1/25/17. Review of ECE-TRIS, revealed that the staff member had only obtained twelve (12) hours of annual training for 1/26/16 - 1/25/17.

3. A staff file (DOH: 12/26/16) presented for review did not contain documentation of six (6) hours of state required orientation training. Review of ECE-TRIS, revealed that the state required orientation had not been completed; therefore, the staff had not completed that state required orientation within ninety (90) days of hire.

Upon interview, the Assistant Director was not aware that the training requirements had not been achieved.

Premises**Not In Compliance****550 - Building Temperature****Not In Compliance****922 KAR 2:120. Section 4. Premises Requirements.****(16) The temperature of the inside area of the premises shall be:****(a) Sixty-five (65) to seventy-five (75) degrees Fahrenheit during the winter; or****(b) Sixty-eight (68) to eighty-two (82) degrees Fahrenheit during the summer months.****Findings:**

General: Based on interview and review of documentation, the surveyor found that the temperature of the Rainbow Classroom and Yellow Classroom were not maintained at sixty-eight (68) to eighty-two (82) degrees Fahrenheit during the month of August. Per interview, the surveyor learned that the temperature of the Rainbow Classroom on 8/21/17 was eighty-seven (87) degrees Fahrenheit at approximately 5:30 p.m. Staff reported that the air unit, which is shared by the Rainbow Classroom and the Yellow Classroom, had broken approximately three (3) weeks ago on a Monday. According to staff, the air unit was replaced by the end of the week on Friday.

Written Documentation**Not In Compliance****1105 - Professional Development****Not In Compliance****922 KAR 2:110. Section 3. Records.****(1) A child-care center shall maintain:****(f) A written annual plan for child-care staff professional development;****Findings:**

General: Based on review of documentation and interview, the following was found:

1. A staff file (DOH: 4/18/16) presented for review contained an annual professional development plan dated for 8/18/16; therefore, the professional development plan had not been updated annually as required.

2. A staff file (DOH: 6/9/14) presented for review contained an annual professional development plan dated for 5/10/16; therefore, the professional development plan had not been updated annually as required.

The Assistant Director, reported that the Director had been working on updating the professional development plans.

Signature of Provider/Representative

Title

Date