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**Inspection Report**

<b>Provider Name:</b> Kids Thrive TUMC Preschool	<b>Provider Information</b>	<b>CLR No:</b> L383638
<b>Provider Address:</b> 6125 Blandville Road, Paducah, KY, 42001	<b>Provider Type:</b> LICENSED TYPE I	<b>Capacity:</b> 27(Bldg 1: 29)
<b>Owner(s):</b> Trinity United Methodist Church of Paducah, Inc.		<b>Director(s):</b> Ash, Rhonda Gail

<b>Inspection Type:</b> Renewal Application	<b>Inspection Information</b>	<b>Inspection No:</b> 245362
<b>Date Initiated:</b> 08/16/2018 10:15 AM	<b>Date Concluded:</b> 09/06/2018 1:45 PM	
	<b>No. of Children Present:</b> 13	

**Inspection Report**

**Background Checks**

**Not In Compliance**

**5 - Background check/left alone/dismissed/relocated**

**Not In Compliance**

**922 KAR 2:280. Section 3. Implementation and Enforcement.**

- (1) A person who is a child care staff member prior to January 1, 2018, shall submit to and complete background checks in accordance with this administrative regulation no later than September 30, 2018.
- (2) A child care staff member hired on or after April 1, 2018, shall:
  - (a) Have completed the background checks required in accordance with this administrative regulation and been found to have no disqualifying offense prior to becoming a child care staff member; or
  - (b) 1. Have submitted to the background checks required in accordance with this administrative regulation;
    - 2. Not be left unsupervised with a child in care pending the completion of the background checks in accordance with this administrative regulation; and
    - 3. Be dismissed or relocated from the residence if the person is found to have a disqualifying background check result.

**Findings:**

General: Based on Review of Documentation, a caregiver hired on 8/20/18, and a caregiver hired on 8/13/18, each did not have a completed background check but was allowed to supervise seven (7) children alone in a not licensed room.

**20 - Out of state background checks**

**Not In Compliance**

**922 KAR 2:280. Section 5. Checks of Other States.**

- (1) In accordance with 45 C.F.R. 98.43(b)(3), a prospective child care staff member who resides in or has resided in another state within the last five (5) years shall:
  - (a) Request from each state of current or prior residency, in accordance with the state's laws, policies, and procedures, with a courtesy notice to the cabinet:
    - 1. An in-state criminal records check by:
      - a. Means of fingerprints for the state of residence; or
      - b. Any means accepted by a state of prior residency;
    - 2. A check of the state's sex offender registry or repository; and
    - 3. A check of the state-based child abuse and neglect registry and database; and
  - (b) Direct results of the checks required in paragraph (a) of this subsection to the Department for Community Based Services, Division of Child Care, 275 East Main Street, 3C-F, Frankfort, Kentucky 40601.

**Findings:**

General: Based on Review of Documentation, a caregiver hired on 8/13/18, had not submitted an out of state background check for Illinois.

**Supervision**

**In Compliance**

**Inspection Report**

**Staffing Requirements**

**In Compliance**

**General Administration**

**Not In Compliance**

**255 - Notification of Changes**

**Not In Compliance**

**922 KAR 2:090. Section 12. Reports.**

**(4)(a) Written notification of the following shall be:**

- 1. Made to the cabinet, in writing, to allow for approval before implementation:**
  - a. Change of ownership;**
  - b. Change of location;**
  - c. Increase in capacity;**
  - d. Change in hours of operation;**
- e. Change of services in the following categories:**
  - (i) Infant;**
  - (ii) Toddler;**
  - (iii) Preschool-age;**
  - (iv) School-age;**
  - (v) Nontraditional hours; or**
  - (vi) Transportation; or**
- f. Addition to or reduction of the square footage of a child-care center's premises;**

**Findings:**

General: Based on Observation and interview, the center did not submit written notification to the cabinet, to receive prior approval, before adding a room to licensed space. Surveyor observed two (2) caregivers supervised children in the unapproved room.

**260 - Change of Director**

**Not In Compliance**

**922 KAR 2:090. Section 12. Reports.**

**(3) A licensee shall report to the cabinet within one (1) week:**

- (a) Any resignation, termination, or change of director; and**
- (b) The name of the acting director who satisfies the requirements of Section 10 of this administrative regulation.**

**Findings:**

General: Based on Interview and observation, the center had a change of director, but failed to notify the cabinet.

**Director Requirements**

**Not In Compliance**

**360 - Caregiver Alone**

**Not In Compliance**

**922 KAR 2:090. Section 10. Director Requirements and Responsibilities.**

**(1) A director shall:**

- (n) Assure that a person acting as a caregiver of a child in care shall not be left alone with a child, if the licensee has not received the results of the background checks as described in 922 KAR 2:280;**

**Findings:**

General: Based on Observation and record review, a caregiver hired on 8/20/18, and a caregiver hired on 8/13/18, supervised seven (7) children alone. Each caregiver did not have a completed background check.

**Employee Records**

**Not In Compliance**

**390 - Educational Requirements**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(1) Child-care center staff:**

- (a) Hired after January 1, 2009, who have supervisory power over a minor and are not enrolled in secondary education, shall have a:**
  - 1. High school diploma;**
  - 2. GED or qualifying documentation from a comparable educational entity; or**
  - 3. Commonwealth Child Care Credential as described in 922 KAR 2:250;**

**Findings:**

General: Based on Review of Documentation, a substitute hired on 8/20/18, did not have documentation of education on file.

**395 - TB Verification**

**Not In Compliance**

**922 KAR 2:090. Section 11. Staff Requirements.**

**(1) Child-care center staff:**

- (b) Shall provide, prior to employment and every two (2) years thereafter:**
  - 1. A statement from a health professional that the individual is free of active tuberculosis; or**
  - 2. A copy of negative tuberculin results.**

**Findings:**

General: Based on Observation, a substitute hired on 8/13/18, had TB documentation dated 6/23/16.

Inspection Report

405 - Adequate Substitute(s)

Not In Compliance

922 KAR 2:090. Section 11. Staff Requirements.

- (6) Child-care centers shall have available in case of need:
  - (a) One (1) qualified substitute staff person for a Type II child-care center; or
  - (b) Two (2) qualified substitute staff persons for a Type I child-care center.
- (7) Each qualified substitute staff person shall:
  - (a) Meet the staff requirements of this administrative regulation; and
  - (b) Provide the required documentation to verify compliance with this administrative regulation.

Findings:

General: Based on Review of Documentation, a substitute hired on 8/20/18, did not have documentation of education on file. Also, a substitute hired on 8/13/18, had TB documentation dated 6/23/16. Therefore, the center did not have two (2) qualified substitute staff persons.

Programming  
Premises

In Compliance  
Not In Compliance

520 - Inaccessible Items

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

- (7) The following shall be inaccessible to a child in care:
  - (a) Toxic cleaning supplies, poisons, and insecticides;
  - (b) Matches, cigarettes, lighters, and flammable liquids; and
  - (c) Personal belongings and medications of staff.

Findings:

General: Based on Observation, a staff member's cell phone was on a desk and accessible to children in care in the three-year and four-year-olds' room.

645 - Sink

Not In Compliance

922 KAR 2:120. Section 10. Toilet, Diapering, and Toiletry Requirements.

- (3) A sink shall be:
  - (a) Located in or immediately adjacent to toilet rooms;
  - (b) Equipped with hot and cold running water that allows for hand washing;
  - (c) Equipped with hot water at a minimum temperature of ninety (90) degrees Fahrenheit and a maximum of 120 degrees Fahrenheit;
  - (d) Equipped with liquid soap;
  - (e) Equipped with hand-drying blower or single use disposable hand drying material;
  - (f) Equipped with an easily cleanable waste receptacle; and
  - (g) Immediately adjacent to a changing area used for infants and toddlers.

Findings:

General: Based on Observation, the hot water registered at seventy-five (75) degrees Fahrenheit at a sink located in the hallway restroom.

Hygienic Practices  
First Aid/Medication  
Outdoor Play Area  
Equipment

In Compliance  
In Compliance  
In Compliance  
Not In Compliance

820 - Indoor/Outdoor Equipment

Not In Compliance

922 KAR 2:120. Section 11. Toys and Furnishings.

- (2) Indoor and outdoor equipment shall:
  - (a) Be clean, safe, and in good repair;
  - (b) Meet the physical, developmental needs, and interests of children of different age groups;
  - (c) Be free from sharp points or corners, splinters, protruding nails or bolts, loose or rusty parts, hazardous small parts, lead-based paint, poisonous material, and flaking or chalking paint; and
  - (d) Be designed to guard against entrapment or situations that may cause strangulation.

Findings:

General: Based on Observation, there was an approximate twenty-one (21) inch long area of rotten, broken wood on the wooden platform of an outdoor play apparatus.

Transportation

Not Applicable

**Inspection Report**

**Food Service/Food Program**

**Not In Compliance**

**995 - Lunch/Dinner Requirements**

**Not In Compliance**

**922 KAR 2:120. Section 9. Food and Meal Requirements.**

**(19) Lunch and dinner shall include:**

- (a) Milk;**
- (b) Protein;**
- (c) Bread; and**
- (d) 1. Two (2) vegetables;**
- 2. Two (2) fruits; or**
- 3. One (1) fruit and one (1) vegetable.**

**Findings:**

General: Based on Observation, a child brought their own lunch, but the lunch did not include milk. The center did not supplement milk in order for the child's lunch to meet requirements.

**Food Service**

**In Compliance**

**Children's Records**

**Not In Compliance**

**1140 - Enrollment Information**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

**(b) A written record for each child:**

- 1. Completed and signed by the child's parent;**
- 2. Retained on file on the first day the child attends the child-care center; and**
- 3. To contain:**
  - a. Identifying information about the child, which includes, at a minimum, the child's name, address, and date of birth;**
  - b. Contact information to enable a person in charge to contact the child's:**
    - (i) Parent at the parent's home or place of employment;**
    - (ii) Family physician; and**
    - (iii) Preferred hospital;**
  - c. The name of each person who is designated in writing to pick-up the child;**
  - d. The child's general health status and medical history including, if applicable:**
    - (i) Allergies;**
    - (ii) Restriction on the child's participation in activities with specific instructions from the child's parent or health professional; and**
    - (iii) Permission from the parent for third-party professional services in the child-care center;**
  - e. The name and phone number of each person to be contacted in an emergency involving or impacting the child;**
  - f. Authorization by the parent for the child-care center to seek emergency medical care for the child in the parent's absence;**

**Findings:**

General: Based on Review of Documentation, a child enrolled on 8/20/18, did not have a preferred hospital listed on the enrollment form.

**Written Documentation**

**Not In Compliance**

**1160 - Daily Attendance Records**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

**(c) Daily attendance records documenting the arrival and departure time of each child, including records that are required in accordance with 922 KAR 2:160, Section 13, if a child receives services from the child-care center through the Child Care Assistance Program;**

**Findings:**

General: Based on Review of Documentation, thirteen (13) children were present, but only twelve (12) had a documented time of arrival.

**1175 - Earthquake/Tornado/Fire Drills**

**Not In Compliance**

**922 KAR 2:090. Section 9. Records.**

**(1) A child-care center shall maintain:**

- (h) A written record of quarterly practiced earthquake drills and tornado drills detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**
- (i) A written record of practiced fire drills conducted monthly detailing the date, time, and children who participated in accordance with 922 KAR 2:120, Section 3;**

**Findings:**

General: Based on Interview and review of documentation, a fire drill was conducted on 8/31/18, but the date and time of the drill was not documented. Also, written documentation for the fire, earthquake and tornado drills conducted December 2017 through May 2018, were not available for review.

Inspection Report

1185 - Confidentiality/Maintenance/Access

Not In Compliance

922 KAR 2:090. Section 9. Records.

(2) A child-care center shall:

- (a) Maintain the confidentiality of a child's record and information concerning a child or the child's parent;
- (b) Maintain all records for five (5) years; and
- (c) Provide the cabinet access and information in the completion of the investigation pursuant to KRS 620.030(4) and (5).

Findings:

General: Based on Observation, eight (8) children's allergy information was posted on a wall, in plain view, in an unapproved room.

1195 - Fire Drills

Not In Compliance

922 KAR 2:120. Section 3. General Requirements.

(12) A fire drill shall be:

- (a) Conducted during hours of operation at least monthly; and
- (b) Documented.

(13) An earthquake drill and a tornado drill shall be:

- (a) Conducted during hours of operation at least quarterly; and
- (b) Documented.

Findings:

General: Based on review of documentation and interview, a fire drill was conducted on 8/31/18, but failed to document the date and time of the drill. Also, there was no written documentation for the fire, earthquake and tornado drills conducted December 2017 through May 2018.

Posted Documentation

In Compliance

Animals

Not Applicable

Signature of Provider/Representative

Title

Date